

## "INVESTBANK" AD with headquarters and address of management: Bulgaria, Sofia, Triaditsa district, Bulgaria Blvd. No. 85

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	Registration index and date
	/
	(to be completed by the official responsible for the receipt and registration of the report)

## REPORT REGISTRATION FORM

## FOR THE SUBMISSION OF INFORMATION ON BREACHES UNDER THE WHISTLEBLOWER PROTECTION ACT

IMPORTANT! Please read the instructions on pages 5 and 6 before completing the form.

To be completed by the official	receiving the report
	METHOD OF SUBMISSION
	☐ WRITTEN ☐ VERBAL
	☐ IN PERSON ☐ VIA A PROXY
DETAILS OF THE OI	FICIAL RECEIVING, ACCEPTING AND REGISTERING THE REPORT
Name	(forename, middle name and surname)
Position	
Workplace	
BULSTAT/UIC	

To be completed by the p	erson submitting the report if the	y are using the form as a template for the report
PA	ART I. DETAILS OF THE PERS	ON SUBMITTING THE REPORT
Name		
	(for	ename, middle name and surname)
CONTACT DATA		
Region		
Location		
Mailing Address		
	Telephone	e-mail (if available)

IN THEIR CAPACITY AS	nature of the work, method of payment and source of funding;  a person working without an employment relationship and/or in a self-employed capacity and/or engaged in a craft activity  a volunteer or trainee;  a partner, shareholder, sole owner of the capital, member of a management or control body of a commercial company, member of the audit committee of an enterprise;  a person working for a natural person or a legal entity, their subcontractors or suppliers;
	□ a job applicant who has participated in a competition or any other form of recruitment process and has become aware of a breach in that capacity; □ a worker or employee, when the information was obtained under an employment or official relationship that has been terminated by the time of the report submission or the public disclosure □ another capacity of the person reporting a breach that they became aware of in a work
	context <sup>1</sup> .(please specify).
IDENTIFICATION (* 4	PART II. WHOM THE REPORT IS SUBMITTED AGAINST
IDENTIFICATION (in the	e event of a report against a natural person)
Name	
	(forename, middle name and surname)
Workplace	
BULSTAT/UIC	
a breach of Bulgar public proce financial ser product safe transport sar environmen radiation processor	rvices, products and markets and the prevention of money laundering and terrorist financing; ety and compliance; fety; ttal protection; otection and nuclear safety; ed safety, animal health and animal welfare; h;
privacy and	personal data protection;
network and	d information system safety;
a breach affecting the financial interests of the European Union under Article 325 of the Treaty on the Functioning of the European Union; a breach of the rules of the internal market under Article 26(2) of the Treaty on the Functioning of the European Union,	
<ul> <li>□ including the rules of the European Union and of Bulgarian law on competition and state aid;</li> <li>□ a breach related to cross-border tax schemes intended to obtain a tax advantage that contradicts the subject matter or purpose of applicable law in the field of corporate taxation;</li> <li>□ a general offence that the person submitting the report became aware of in conjunction with the performance of their work or official duties.</li> </ul>	
	rian law in the field of: r the payment of public state and municipal receivables due;
	narket legislation;
	on related to the performance of civil services.
4 WHEN HAR THE PAR	ACH OCCURRED
2. WHEN HAS THE BRE	ACT OCCURRED
Date/Period	

<sup>&</sup>lt;sup>1</sup> Pursuant to §1, item 4 of the Further Provisions of the Whistleblower Protection Act, a "work context" means current and former work activities in the public or private sector through which, irrespective of their nature, persons obtain information about breaches and within which these persons can be subjected to repressive retaliation if they report such information.

3. DESCRIPTION OF THE BREACH (sp	pecific data on the breach or of the genuine risk of the occurrence of such a breach)
4. LIST OF THE ATTACHED EVIDENCE	NE.
4. LIST OF THE ATTACHED EVIDENCE	,E
	HAN THE PERSON SUBMITTING THE REPORT WHO NEED PROTECTION
	nown at the time when the report is submitted)  ng the report in the course of the process;
	ing the report <sup>2</sup> who could be subjected to retaliation as a result of the report;
	mitting the report has an equity participation, for which they are working or to which they
LISTING/IDENTIFICAITON OF THE PERS	ONS TO BE GRANTED PROTECTION
CAPACITY OF THE PERSON (a colleague, a relative – without limitation	
in degrees, a legal entity in which the	
person submitting the report has an equity participation, for which they are working	
or to which they are related in any other	
way in a work context)	
Name (for natural persons)	
Name of the legal entity	(forename, middle name and surname, if known)
Name of the legal entity	
	BULSTAT/UIC BULSTAT/UIC
	Represented by
CONTACT DATA	
Location	
Mailing Address	
	Telephone E-mail (if any)
PART V. PERSONS WHO CAN CO	ONFIRM THE REPORTED DATA OR CAN PROVIDE FURTHER
Name (for natural persons)	INFORMATION
ivame (for natural persons)	(forename, middle name and surname, if known)
	(TOTCHAINE, IIIIUUIE HAINE ANU SUITIAINE, II KIIOWII)

<sup>&</sup>lt;sup>2</sup> Under §1, item 9 of the Further Provisions of the Whistleblower Protection Act, "persons related to the whistleblower (person submitting the report)" means third persons who could be subjected to repressive retaliation in a work context, as colleagues or relatives – without limitation in degree.

Name of the legal entity	
	BULSTAT/UIC
	Represented by
CONTACT DATA	
Location	
Mailing Address	
	Telephone E-mail (if any)
	MITTING THE REPORT TO SIGN THE DOCUMENT
(to be marked by the offici	al receiving and registering the report)
□ ACCEPT	□ REFUSE
□ ACCEPT	□ REFUSE
□ ACCEPT	□ REFUSE
☐ ACCEPT  THE REPORT WAS RECEIVED AND	
	REGISTERED BY:
THE REPORT WAS RECEIVED AND	REGISTERED BY:  (name of the official)
	REGISTERED BY:  (name of the official)
THE REPORT WAS RECEIVED AND POSITION:	REGISTERED BY:  (name of the official)
THE REPORT WAS RECEIVED AND	REGISTERED BY:  (name of the official)
THE REPORT WAS RECEIVED AND POSITION:	REGISTERED BY:  (name of the official)
THE REPORT WAS RECEIVED AND POSITION:	REGISTERED BY:  (name of the official)  SIGNATURE:
THE REPORT WAS RECEIVED AND  POSITION:  DATE:	REGISTERED BY:  (name of the official)  SIGNATURE:
THE REPORT WAS RECEIVED AND  POSITION:  DATE:	REGISTERED BY:  (name of the official)  SIGNATURE:
THE REPORT WAS RECEIVED AND  POSITION:  DATE:	REGISTERED BY:  (name of the official)  SIGNATURE:

THE SUBMISSION OF REPORTS OR THE PUBLIC DISCLOSURE OF FALSE INFORMATION IS SUBJECT TO ADMINISTRATIVE CRIMINAL LIABILITY UNDER ARTICLE 45 OF THE WHISTLEBLOWER PROTECTION ACT.